
**Earth-moving machinery — Loaders and
backhoe loaders —**

**Part 1:
Calculation of rated operating capacity
and test method for verifying calculated
tipping load**

*Engins de terrassement — Chargeuses et chargeuses-pelleteuses —
Partie 1: Calcul de la charge utile nominale et méthode d'essai pour
vérifier la charge de basculement calculée*

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Foreword

ISO (the International Organization for Standardization) is a worldwide federation of national standards bodies (ISO member bodies). The work of preparing International Standards is normally carried out through ISO technical committees. Each member body interested in a subject for which a technical committee has been established has the right to be represented on that committee. International organizations, governmental and non-governmental, in liaison with ISO, also take part in the work. ISO collaborates closely with the International Electrotechnical Commission (IEC) on all matters of electrotechnical standardization.

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The main task of technical committees is to prepare International Standards. Draft International Standards adopted by the technical committees are circulated to the member bodies for voting. Publication as an International Standard requires approval by at least 75 % of the member bodies casting a vote.

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO shall not be held responsible for identifying any or all such patent rights.

ISO 14397-1 was prepared by Technical Committee ISO/TC 127, *Earth-moving machinery*, Subcommittee SC 1, *Test methods relating to machine performance*.

This second edition cancels and replaces the first edition (ISO 14397-1:2002), which has been technically revised.

ISO 14397 consists of the following parts, under the general title *Earth-moving machinery — Loaders and backhoe loaders*:

- *Part 1: Calculation of rated operating capacity and test method for verifying calculated tipping load*
- *Part 2: Test method for measuring breakout forces and lift capacity to maximum lift height*

Earth-moving machinery — Loaders and backhoe loaders —

Part 1: Calculation of rated operating capacity and test method for verifying calculated tipping load

1 Scope

This part of ISO 14397 specifies the means for determining the rated operating capacity of wheeled or crawler loaders or of the loader portion of backhoe loaders, having buckets and material handling forks, as defined in ISO 6165. It gives standard methods for the calculation and test verification of the tipping load (mass).

It is applicable only to the use of buckets and forks on loaders.

NOTE Certain attachments can exceed the normal operating capacity and will require restricted machine operating conditions, such as reduced machine speed or limited lifting height. Refer to the attachment manufacturer's instructions for the intended use of the attachment.

2 Normative references

The following referenced documents are indispensable for the application of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO 6016:1998, *Earth-moving machinery — Methods of measuring the masses of whole machines, their equipment and components*

ISO 6165:2006, *Earth-moving machinery — Basic types — Identification and terms and definitions*

ISO 6746-1:2003, *Earth-moving machinery — Definitions of dimensions and codes — Part 1: Base machine*

ISO 7546:1983, *Earth-moving machinery — Loader and front loading excavator buckets — Volumetric ratings*

ISO 9248:1992, *Earth-moving machinery — Units for dimensions, performance and capacities, and their measurement accuracies*

ISO 14397-2, *Earth-moving machinery — Loaders and backhoe loaders — Part 2: Test method for measuring breakout forces and lift capacity to maximum lift height*

3 Terms and definitions

For the purposes of this document, the terms and definitions given in ISO 6165 and ISO 6746-1, and the following, apply.

3.1 rated operating capacity

N
calculated value, in kilograms, representing normal loading under typical operating conditions

3.2 tipping load at maximum reach

m_{tip}
minimum mass, in kilograms, that, when placed in the loader bucket or on forks at maximum moment arm position, will cause the loader to achieve the tipping limit condition in its least stable configuration, with the loader placed on a hard, level surface and the resultant force acting vertically through the centroid of the rated bucket volume as specified in ISO 7546 or the fork load centre as specified in 5.2.6 and shown in Figure 1

3.3 lift capacity to maximum height

m_{lift}
mass, in kilograms, which can be lifted from the ground to maximum height using the lift cylinder or cylinders at hydraulic circuit working pressure, with the bucket positioned to hold the maximum load or the forks positioned horizontally, and with the resultant force acting vertically through the centroid of the rated bucket volume as specified in ISO 7546 or the fork load centre as specified in 5.2.6 and shown in Figure 1

NOTE See also ISO 14397-2.

3.4 maximum moment arm

n
maximum horizontal distance from the load centre of gravity to the tipping line when the bucket is positioned to hold the maximum load or the forks are positioned horizontally

See Figures 2 to 8.

3.5 tipping limit condition

(wheeled loaders) condition in which at least one of the wheels farthest from the tipping line no longer touches the ground

3.6 tipping limit condition

(crawlers with rigid frame suspension) condition when the front track rollers no longer touch the track

NOTE For other types of suspension, the tipping limit condition is as specified by the manufacturer.

3.7 tipping line

line about which the loader tips

See Figures 2 to 8.

3.8 operating mass

mass of the base machine with equipment and empty attachment as specified by the manufacturer, and with the operator (75 kg), full fuel tank and all fluid systems at the levels specified by the manufacturer

3.9**hydraulic circuit working pressure**

pressure applied to the specific hydraulic lifting circuit by the hydraulic pump or pumps

3.10**swing loader**

loader having a swing type lift arm which can rotate to the left and right of the straight position

3.11**stability factors***k*

factors accounting for the effects of the operating surface and of the dynamic forces caused by travel speed, tyre deflection, etc., used in rated operating capacity calculation

4 Symbols and abbreviated terms

<i>A</i> ₁	articulation angle, as defined in ISO 6746-1	°
<i>D</i>	load centre of gravity distance	m
<i>G</i> ₁	measured load on the front wheel at the opposite side of the tipping line (without load in bucket)	kg
<i>G</i> ₂	measured load on the rear wheel at the opposite side of the tipping line (without load in bucket)	kg
<i>G</i> _H	measured load on the rear axle (without load in bucket)	kg
<i>k</i>	stability factors (see Table 1)	—
<i>L</i> ₂	crawler base, as defined in ISO 6746-1	m
<i>L</i> ₃	wheel base, as defined in ISO 6746-1	m
<i>L</i> ₅	rear axle to hinge (pivot of the articulated steering), as defined in ISO 6746-1	m
<i>m</i> _{lift}	lift capacity to maximum height	kg
<i>m</i> _{tip}	tipping load at maximum reach	kg
<i>N</i>	rated operating capacity	kg
<i>n</i>	maximum moment arm	m
<i>n</i> ₁	moment arm of load <i>G</i> ₁ (horizontal distance between centre of action of <i>G</i> ₁ and side tipping line)	m
<i>n</i> ₂	moment arm of load <i>G</i> ₂ (horizontal distance between centre of action of <i>G</i> ₂ and side tipping line)	m
<i>W</i> ₁	maximum width, as defined in ISO 6746-1 (see also ISO 14397-2)	m
<i>W</i> ₂	track gauge, as defined in ISO 6746-1 (see also ISO 14397-2)	m
<i>W</i> ₃	tread (wheel type), as defined in ISO 6746-1	m
<i>W</i> ₄	track shoe width, as defined in ISO 6746-1 (see also ISO 14397-2)	m

5 Requirements

5.1 Calculation of rated operating capacity

For each type of loader, the configurations in which the loader is most likely to tip over are assessed and the corresponding tipping line is determined. The rated operating capacity, N , is then given by Equation (1):

$$N = k \times m_{\text{tip}}$$

or

$$N = m_{\text{lift}}$$

(whichever gives the lesser result)

(1)

where

k is the stability factor determined from Table 1, based on the configuration;

m_{tip} is the tipping load at maximum reach, determined in accordance with Clause 6 or calculated using Equation (2):

$$m_{\text{tip}} = \sum_i \frac{G_i \times n_i}{n}$$

(2)

where

G_i is a partial load that opposes the tipping over of the loader;

n is the maximum moment arm length;

n_i is its corresponding moment arm with respect to the tipping line;

m_{lift} is the lift capacity to maximum height, determined in accordance with ISO 14397-2.

NOTE See 5.3 for the actual formula for calculating N for specific loader types.

Table 1 — Determination of stability factor

Loader configuration	k
Wheeled machines with bucket or forks	0,50
Crawler machines with bucket or forks	0,35

NOTE 1 The stability factor, k , is for normal operation. For wheeled machines, normal operation includes operating on a hard, substantially smooth and level surface with a maximum travel speed of 15 km/h. For crawler machines normal operation includes softer ground and not as smooth or level surfaces as wheeled machine conditions, with a maximum travel speed of 6 km/h.

NOTE 2 Derivative use of wheel or crawler loaders requires a risk assessment to determine the k factor that will assure stable operation. See example in Annex A.

5.2 Loader configurations

5.2.1 General

The loader shall be the standard version specified by the manufacturer.

If the tipping load is determined under specific conditions — as is the case with additional counterweights, ripper, backhoe or tyre ballast — then these conditions shall be specified in the operator's manual and advertising literature so that the stable operating conditions are clearly defined.

The backhoe portion of backhoe loaders shall be in the transport position, as specified by the manufacturer.

The tipping loads used in manuals or advertising shall specify the operating conditions and configurations including tyre inflation upon which the tipping load (mass) ratings are based.

5.2.2 Rigid-frame type

Rigid-frame wheeled loaders and backhoe loaders with steered wheels shall be in the straight-ahead position (see Figures 2 and 4).

5.2.3 Articulated-frame type

Articulated-frame wheeled loaders and backhoe loaders shall be positioned with the frames both straight and fully articulated to the right and left (see Figure 3).

5.2.4 Swing-arm type

Swing-arm type wheeled loaders shall be turned in the most unstable position, as specified by the manufacturer (see Figures 5 and 6).

5.2.5 Bucket applications

The bucket shall be positioned to provide the maximum moment arm, as shown in Figures 2 to 7.

5.2.6 Fork applications

The forks shall be horizontal and positioned to provide maximum moment arm at the load centre, with top-clamp closed when so equipped.

The load centre of gravity distance, D , is determined as a point on the longitudinal centreline of the machine at half the distance from the most rearward point of the load opening to the tip of the fork (see Figure 1).

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